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PATENT

THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

S. Anderson

Application No.: 09/889,540

Filed: July 18, 2001

For: DETECTION OF DUPLICATE PARTICIPANTS IN
A TWO-WAY MODEM ENVIRONMENT

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Commissioner for Patents
Washington, D.C. 20231

CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service as First Class Mail, postage prepaid, in an envelope addressed to Commissioner for Patents, Washington, D.C. 20231 on October 15, 2001.

By: *Michele Hollis*
Michele Hollis

INFORMATION DISCLOSURE STATEMENT

Dear Sir:

In accordance with 37 C.F.R. §1.56, Applicant wishes to call the attention of the Examiner to the following references:

U.S. PATENT(S) :

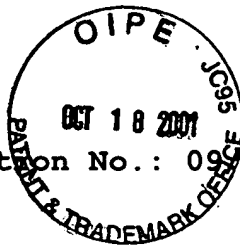
| <u>Patent No.</u> | <u>Patentee</u> | <u>Date of Issue</u> |
|-------------------|-------------------|----------------------|
| 5,345,504 | West, Jr. | Sep. 6, 1994 |
| 5,606,604 | Rosenblatt et al. | Feb. 25, 1997 |

PUBLICATION(S) :

S. Medvinsky and S. Anderson, "DOCSIS Security vs. Cable Modem Cloning," SCTE Conference on Emerging Technologies 2000, Anaheim, Calif., January 11-13, 2000.

Copies of the above-listed references are enclosed, together with a completed form PTO-1449.

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The article entitled "DOCSIS Security vs. Cable Modem Cloning" is not believed to be prior art, as it was co-authored by the present applicant and presented after the provisional application priority date of January 22, 1999. It is being brought to the examiner's attention in an abundance of caution, only because it was published prior to the international filing date of the PCT application on which the present application is based.

Also enclosed for the Examiner's convenience are copies of the Search Report and Written Opinion indicating the references cited during the PCT international proceedings. These references are listed on the enclosed PTO 1449 form but copies are not enclosed as the examiner should already have copies in the file. In the event the file copies are not available, Applicant will provide copies at the Examiner's request.

This Information Disclosure Statement is being filed within three months of the filing date of the subject patent application, and therefore no fee is due.

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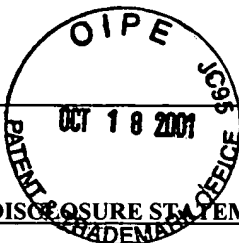
Consideration of these references by the Examiner is
respectfully requested.

Respectfully submitted,



Date: October 15, 2001
ATTORNEY DOCKET NO.: GIC-564

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|---|----|---|---------------|------------------------|-------|---|----------------------------|
| FORM PTO-1449 | | ATTY. DOCKET NO.: GIC-564 | | SERIAL NO.: 09/889,540 | | | |
| INFORMATION DISCLOSURE STATEMENT BY APPLICANT | | APPLICANT(S): S. Anderson | | | | | |
| | | FILING DATE: July 18, 2001 | | GROUP: | | | |
| U.S. PATENT DOCUMENTS | | | | | | | |
| EXAMINER INITIAL | | DOCUMENT NUMBER | DATE | NAME | CLASS | SUB- CLASS | FILING DATE |
| | AA | 4,688,249 | Aug. 18, 1987 | Hayes et al. | | | |
| | AB | 4,829,589 | May 9, 1989 | Uekusa | | | |
| | AC | 5,335,265 | Aug. 2, 1994 | Sonberg et al. | | | |
| | AD | 5,345,504 | Sep. 6, 1994 | West | | | |
| | AE | 5,473,361 | Dec. 5, 1995 | Penney | | | |
| | AF | 5,606,604 | Feb. 25, 1997 | Rosenblatt et al. | | | |
| | AG | 5,621,793 | Apr. 15, 1997 | Bednarek et al. | | | |
| FOREIGN PATENT DOCUMENTS | | | | | | RECEIVED OCT 23 2001 Technology Center 2600 | |
| | | DOCUMENT NUMBER | DATE | COUNTRY | CLASS | SUB- CLASS | TRANS- LATION YES NO |
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| OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, etc.) | | | | | | | |
| | AH | S. Medvinsky and S. Anderson, "DOCSIS Security vs. Cable Modem Cloning," SCTE Conference on Emerging Technologies 2000, Anaheim, Calif., January 11-13, 2000. | | | | | |
| | AI | Eldering, C.A., et al., "CATV Return Path Characterization For Reliable Communications," IEEE Communications Magazine, Vol. 33, No. 8, August 1, 1995, pages 62-69. | | | | | |
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| EXAMINER | | | | DATE CONSIDERED | | | |
| EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609; draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. | | | | | | | |